

PTO-1449		Application No. <b>10/767,546</b>	Applicant(s) <b>Thomas J. Daley, et al.</b>	
<b>Information Disclosure Citation In an Application</b>		Docket Number <b>069547.0163</b>	Group Art Unit <b>4132</b>	Filing Date <b>January 29, 2004</b>

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U.S. PATENT AND TRADEMARK OFFICE.

PTO-1449 <b>Information Disclosure Citation In an Application</b> <i>NOV 18 2005 U.S. PATENT DOCUMENTS</i>	Application No. 10/767,546		Applicant(s) Thomas J. Daley, et al.	
	Docket Number 069547.0163	Group Art Unit 3624	Filing Date January 29, 2004	

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
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	Docket Number 069547.0163	Group Art Unit 3624

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/G.V./	T	WO 00/11587 A1	03/02/2000	PCT	G06F	17/60	X	
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	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
/G.V./	Notification of Transmittal of The International Search Report, International Application No. PCT/US05/03159, 4 pages.	02/24/2006
/G.V./	Written Opinion of the International Searching Authority, International Application No. PCT/US05/03159, 7 pages.	02/24/2006
/G.V./	How to Match Trades and Quotes for NYSE Stocks? Olivier Vergote and K.U. Leuven, Abstract, 21 pages.	03/2005

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
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G.V./D		2002/0091606 A1	07/11/2002	Shapiro	705	36	01/11/2001
G.V./E		2002/0156719 A1	10/24/2002	Finebaum, et al.	705	37	11/15/2001
G.V./F		2003/0101128 A1	05/29/2003	Abernethy	705	37	11/29/2001
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	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
G.V./P	USPTO Office Action Summary for Application No. 10/767,699; filed January 29, 2004; Attorney Docket No. 069547.0174; 22 pages.	10/26/2007
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PTO-1449		Application No. Unknown		Applicant(s) Thomas J. Daley et al.	
Information Disclosure Citation In an Application		Docket Number 069547.0163	Group Art Unit Unknown	Filing Date January 29, 2004	

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G.V.	N	Patent Pending Application entitled, "System and Method for Routing a Trading Order According to Price," by Thomas J. Daley et al., attorney docket no. 069547.0173, 72 pages including drawings	filng date January 29, 2004
G.V.	O	Patent Pending Application entitled, "System and Method for Controlling the Disclosure of a Trading Order," by Thomas J. Daley et al., attorney docket no. 069547.0174, 66 pages including drawings	filng date January 29, 2004
G.V.	P	Patent Pending Application entitled, "System and Method for Avoiding Transaction Costs Associated with Trading Orders," by Thomas J. Daley et al., attorney docket no. 069547.0175, 65 pages including drawings	filng date January 29, 2004
G.V.	Q	Patent Pending Application entitled, "System and Method for Matching Trading Orders," by Thomas J. Daley et al., attorney docket no. 069547.0176, 74 pages including drawings	filng date January 29, 2004
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		Docket Number <b>069547.0163</b>	Group Art Unit <b>3694</b>
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/G.V./P	European Patent Office Examination Report for Application No. 05 712 564.3 - 1238; 5 pages.	11/14/2007
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G.V.	K	WO 01/77946 A1	10/18/2001	PCT	G06F	17/60		
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G.V	/P	<i>Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration for International Application No. PCT/US05/03163; 9 pages.</i>	01/04/2007
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/G.V./P	Parlour, Christine; <i>Price Dynamics in Limit Order Markets</i> ; Queen's University, Kingston, Ontario, Canada; 111 pages.	11/1995
/G.V./Q	Weber, Bruce; <i>Screen-Based Trading in Futures Markets: Recent Developments and Research Propositions</i> ; Abstract; ISBN 0 7695 0001 3; 1 page.	1999
/G.V./R	<i>Balance of Trade</i> ; Banking Technology; Vol. 20, No. 9; ISSN 0266-0865; pages 42-44.	11/2004
/G.V./S	Patent Pending Application Serial No. 11/499,833 entitled <i>System and Method for Matching Trading Orders Based on Priority</i> by Matthew W. Claus, et al.; Atty Docket No. 069547.0267; 46 total pages.	filed 08/03/2006

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G.V.	/D	2005/0055304 A1	03/10/2005	Lutnick, et al.	705	37	07/13/2004
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G.V.	N <i>Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration for International Application No. PCT/US06/30638; 8 pages.</i>	04/20/2007
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